

<p align="center"><b>NATIONAL PHASE UTILITY APPLICATION</b></p> <p align="center"><b>Transmittal to the (DO/EO/US) Under 35 USC 371</b></p>	<p>Attorney Docket No.: SHIGA7.053APC  First Named Inventor: Toshiyuki Ogata  Int'l Application No.: PCT/JP2005/000660  Int'l Filing Date: January 20, 2005  Priority Date Claimed: February 20, 2004  Title: POLYMER COMPOUND, PHOTORESIST  COMPOSITION INCLUDING THE POLYMER  COMPOUND, AND RESIST PATTERN FORMATION  METHOD  Express Mail Label No.: EV 468058605 US</p>
<p><b>Direct all correspondence to Customer No.: 20995</b></p> <p align="right">Date: August 9, 2006 Page 1 of 2</p>	

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The following enclosures are transmitted herewith to be filed in the patent application of:

**Inventors:**

1. Toshiyuki Ogata
2. Syogo Matsumaru
3. Hideo Hada

**APPLICATION ELEMENTS:**

- (X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- (X) A copy of the International Application as published (35 U.S.C. § 371(c)(2)) is attached hereto.
- (X) An English translation of the International Application as published is attached hereto.
- (X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.
- (X) An Oath or Declaration and Power of Attorney with copy of Assignment signed by the inventors (35 U.S.C. § 371(c)(4)) in 4 pages.

**OTHER APPLICATION PARTS:**

- (X) Preliminary Amendment in 5 pages.
- (X) Recordation form cover sheet and Assignment in 3 total pages (cover sheet & assignment).
- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing 4 references.
  - (X) Enclosing 3 references.
- (X) Form PCT/IB/304
- (X) International Search Report
- (X) Return prepaid postcard.

10/588866

**NATIONAL PHASE  
UTILITY APPLICATION**

**Transmittal to the (DO/EO/US)  
Under 35 USC 371**

Attorney Docket No.: SHIGA7053APG  
First Named Inventor: Toshiyuki Ogata  
Int'l Application No.: PCT/JP2005/000660  
Int'l Filing Date: January 20, 2005

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Title: POLYMER COMPOUND, PHOTORESIST  
COMPOSITION INCLUDING THE POLYMER  
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METHOD

Express Mail Label No.: EV 468058605 US

AP20 REC 09/PTO 09 AUG 2006

**Direct all correspondence to Customer No.: 20995**

Date: August 9, 2006

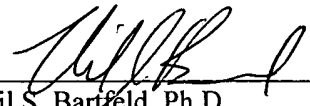
Page 2 of 2

**FILING FEES:**

FEE CALCULATION				
FEE TYPE & DETERMINATION		LARGE FEE	CALCULATION	TOTAL
Basic Filing Fee under 1.492(a)		1631 (\$300)		\$300
Search Fee under 1.492(b) (enter one in TOTAL column)				
(1)	USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)	1640 (\$0)		\$400
(2)	USPTO was the ISA (noncompliant claims)	1641 (\$100)		
(3)	Foreign Search Report Enclosed	1642 (\$400)		
(4)	No Search Report Enclosed	1632 (\$500)		
Examination Fee under 1.492(c) (enter one in TOTAL column)				
(1)	USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)	1643 (\$0)		\$200
(2)	Non US IPEA or Noncompliant Claims	1633 (\$200)		
Excess Claims	9 - 20 = 0	1615 (\$50)	0 x 50 =	\$0
Excess Ind. Claims	1 - 3 = 0	1614 (\$200)	0 x 200 =	\$0
Multiple Dep. Claim		1616 (\$360)		\$360
Assignment		8021 (\$40)	1 x 40 =	\$40
			<b>TOTAL FEE DUE</b>	<b>\$1,300</b>
<b>TOTAL FEE PAID (If Different Than Fee Due)</b>				<b>N/A</b>

(X) A check in the amount of \$1,300 to cover the Total Fee Due is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

  
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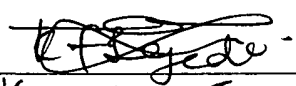
Attorney Docket No. : SHIGA7.053APC  
Applicant(s) : Ogata et al.  
For : POLYMER COMPOUND, PHOTORESIST  
COMPOSITION INCLUDING THE POLYMER  
COMPOUND, AND RESIST PATTERN  
FORMATION METHOD  
Agent : Neil S. Bartfeld, Ph.D.  
"Express Mail" Label No. : EV 468058605 US  
Date of Deposit : August 9, 2006

The following documents are hereby placed into an Express Mail envelope bearing the number indicated above, which envelope is being deposited today with the U.S. Postal Service as Express Mail:

Transmittal letter; copy of the International Application as published; a copy of the English translation of the International Application as published; Declaration and Power of Attorney with copy of Assignment signed by the inventors in 4 pages; Preliminary Amendment in 5 pages; Recordation form cover sheet and Assignment in 3 total pages; Information Disclosure Statement and PTO/SB/08 equivalent listing 4 references, enclosing 3 references; a copy of Form PCT/IB/304; International Search Report; Check for Filing Fees; Return Prepaid Postcard.

The envelope, with the enclosures listed above, is addressed the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

This submission is being made in compliance with 37 CFR 1.10.

  
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Docketing Agent

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